

# CALIFORNIA ASSOCIATION OF STATE AUDITORS

June 2020  
Volume 17



## President's Message

Dear Members,

We are excited to announce that CASA has reached a new milestone and we are now offering online training. Our first online course will be this month and has been scheduled for two morning sessions. We look forward to your participation and your feedback on this new online delivery method.



We have several in-person training courses lined up for when the COVID restrictions are lifted. We are confident that we will be able to maintain proper social distancing while bringing you the professional training you depend on from CASA.

Stay safe and stay healthy!

*Jennifer*

### Contact Info

Got questions?

Contact us via email at  
[CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

or call us at  
(916) 633-1CPE (1273)

### Membership

Interested in joining  
CASA?

Membership is open to all  
auditors, accountants, and  
state employees.

No membership fees!

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### CASA Team

- Jennifer Whitaker  
President
- Jodi Epperson  
Vice President
- Laura Langston  
Treasurer
- Leanna Sinibaldi  
Secretary
- Shanah McKnight  
Executive Director
- Chris Prasad  
Training  
Coordinator
- Mary Camacho  
Peer Review
- Lisa Negri  
Peer Review
- Roberto Zavala  
Immediate Past  
President



## CASA Peer Review Program has Peer Reviewer Opportunities!

**Would you like to be a member of a peer review team to gain valuable and relevant experiences?**

CASA is seeking volunteers to participate in peer reviews of various government agencies.

As a peer reviewer, you will gain valuable experience and great insight into the best practices of the auditing field. You will also walk away with practical ideas about how to improve your audit

organization's quality control practices.

CASA is currently seeking peer review volunteers for the 2021 Peer Review season. If you are interested in volunteering or having someone from your staff volunteer, please send an e-mail to CASA's Peer Review Coordinators at: [mary.camacho@dof.ca.gov](mailto:mary.camacho@dof.ca.gov) or [lisa.negri@energy.ca.gov](mailto:lisa.negri@energy.ca.gov).

**We hope to hear from you soon!**

### CASA'S WEB SITE IS UNDER CONSTRUCTION



**STAY TUNED FOR UPDATES!**

### COMING SOON!!!



**YOUR VOICE MATTERS AND WE WANT TO HEAR FROM YOU**

**PLEASE PARTICIPATE IN THE MEMBER SURVEY**

**WATCH YOUR INBOX**

**NEW MILESTONE:  
CASA NOW OFFERING  
ONLINE TRAINING**



CASA is proud to announce that we have reached a new milestone in our training offerings and will now provide online training. Our first online course begins this month (see below). We also look forward to resuming our in-person training with proper social distancing once the COVID restrictions are lifted.

**Audit With An End In Mind—Effective Engagement  
Planning And Reporting**

**ONLINE**

**Tuesday & Wednesday, June 23 & 24, 2020, 8:30-12:30**

**Audit Engagement Planning And Risk Assessments**

Planning is the foundation for every efficient and effective audit. If audit teams take the time to build an effective audit risk assessment and plan the audit accordingly, the opportunity for a smooth and under budget audit increases exponentially. This course will take attendees through the basics of audit-level risk assessments and appropriate steps throughout the planning phase of an audit.

**Audit Report Writing**

Audit reports can significantly lose their effect if not written professionally. Writing an audit report is a fine art and, once perfected, can take on more importance than the audit itself. In this four-hour course, attendees will learn, in-depth, about each component of an audit report and how to set the correct tense and clarity to gain the most effectiveness.



## ARE YOU HIRING?

CONTACT CASA AND WE WILL SHARE YOUR  
JOB POSTING WITH OUR MEMBERS BY:

- 1) EMAIL BLAST TO OUR MEMBERSHIP LIST
- 2) POST IN OUR BI-MONTHLY NEWSLETTER

# RECRUITMENT

### INTERNAL AUDIT SUPERVISOR STAFF MANAGEMENT AUDITOR CA HIGH SPEED RAIL AUTHORITY

**FINAL FILING DATE: UNTIL FILLED**

Performs a variety of the most complex and comprehensive audits of the Authority, other State and local government agencies or commercial contractors/consultants. As a lead auditor, plan, coordinate and conduct audit assignments to ensure compliance with applicable auditing standards. Assess the sufficiency of audit work performed and ensure audit conclusions address audit objectives established by management.

In order to ensure statutory and regulatory provisions requiring adherence to specific guidelines and documentation are met, acts as an independent fiscal, performance and compliance reviewer for the Authority.

- Leads routine and special reviews of plans, programs and activities of the Authority's operations.
- Conducts reviews and evaluations to examine organizational policies and procedures for efficiency and effectiveness to ensure that programs are accomplishing stated goals and outcomes.
- Reviews practices of the Authority to ensure that systems of administrative and operational controls comply with accepted internal control standards and function to safeguard Authority resources.
- Reviews accounts, records and reports of Authority operations and evaluates their compliance with state and federal rules and regulations.
- Provides technical consultative services and makes recommendations to the executive staff for revising and modifying operational procedures to ensure that effective internal controls are in place.

For more information, please visit [www.calcareers.ca.gov](http://www.calcareers.ca.gov)

**INTERNAL AUDITOR  
STAFF PROGRAM AUDITOR  
STATE TEACHERS' RETIREMENT SYSTEM**

**FINAL FILING DATE: 6/18/2020**

The CalSTRS Audit Services Division is seeking a motivated individual to work as a Staff Program Auditor on the Internal Audits team.

The mission of Audit Services is to provide independent, objective assurance through audit and consulting services designed to add value and improve CalSTRS operations. Audit Services also assists CalSTRS in accomplishing its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, internal control and governance processes. Audit Services is an integral part of the accomplishment of goals and objectives established for CalSTRS operations and programs. Audit Services consists of Employer and Internal Audits.

The Internal Audits team provides risk-based and objective assurance, advice and insight to assist management in ethically, effectively and efficiently fulfilling their management responsibilities. The Internal Audit team executes an approved audit plan based upon an annual risk assessment, or as requested by the CalSTRS board and/or CalSTRS Executive Staff. The team performs audits of internal operations and will perform the following in accordance with its overall strategy: Provide objective assurance on the effectiveness of enterprise risk management activities to help ensure key business risks are being managed appropriately and that the system of internal control is operating effectively. Verify the existence of assets and recommend proper safeguards for their protection. Examines, evaluates and reports on the adequacy and reliability of existing internal controls. Assess compliance with state and federal laws, contractual obligations, policies and procedures and sound business practices. Review operations/programs to ascertain whether results are consistent with established objectives and whether the operations/programs are being carried out as planned.

**For more information, please visit [www.calcareers.ca.gov](http://www.calcareers.ca.gov)**