

# CALIFORNIA ASSOCIATION OF STATE AUDITORS



**Volume 13**

**Quarterly Newsletter**

**October 2019**

## **In this Issue**

*President's Message*

*Vice President's Message*

*Peer Review Update*

*Upcoming Training*

*Job Opportunities*

## **CASA Team**

### **President**

*Jennifer Whitaker*

### **Vice President**

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*Leanna Sinibaldi*

### **Executive Director**

*Shanah McKnight*

### **Training Coordinator**

*Chris Prasad*

### **Peer Review**

*Mary Camacho*

*Lisa Negri*

## President's Message

**Dear Members:**



The decade is ending and as we head into the new year we are looking forward to working with our new board members to plan our 2020 training schedule and continue our successful peer review program.

I would also like to thank Roberto Zavala for his many years of loyal service as the CASA Board President. Roberto's personality, energy, work ethic, and leadership serve as an exceptional example for all. We want to express our sincere appreciation to Roberto and wish him much happiness as he lightens his committee commitments.

**All the best,**

*Jennifer*



## We want your feedback!

If you are in need of specific training topics or are aware of great presenters, please let us know!

CASA is committed to bringing you the best training possible.

Send us feedback at:  
[CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

## Membership

Interested in joining CASA? Membership is open to all auditors, accountants, and state employees.

- No membership fees
- No membership dues

## Contact Info

Got questions?

Contact us via email at  
[CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

or call us at

(916) 633-1CPE (1273)

## Vice President's Message

Greetings CASA Members,



I am honored that you have elected me as your new Vice President and I look forward to serving you in the coming years. My career in state service has spanned 20 years with 15 of those years working in an auditor role. Currently, I am the Chief Auditor at the California Department of Aging.

I know the value that CASA brings to auditors, accountants and state employees, and I am pleased to be part of that process. I encourage you to participate in the process by providing your suggestions for training topics and speakers. Together, we will be better at meeting the needs of our CASA members.

*Godi*

## New Peer Review Member



Dear Members,

I am the Chief Auditor of the California Energy Commission with responsibility for external grant audits and internal audits. Prior to joining Energy five years ago, I worked at the Department of Finance for 10 years as an auditor.

I am a cheerleader for Peer Reviews. I think participating on a peer review is a real opportunity to learn from others in our positions and talk auditing with knowledgeable, respected state auditor leaders. I am proud to serve as one of the peer review coordinators.

All the best,

*Lisa*





## Upcoming Training

### **Internal Audit Standards: The Red Book**

**Monday, 04 November 2019, 8:30am—4:30pm**

**Course description:** This course is designed to give Internal Auditors at all levels, in business and industry and the public sector, familiarity with the Institute of Internal Auditors (IIA) international professional practices framework (IPPF). The course explores mandatory and non-mandatory guidance, providing the foundation for delivering a broad range of internal audit services to help the organization accomplish its objectives, and improve governance, risk and internal control.

**\*\*\*Please be sure to bring your copy of the Redbook to the course. If you do not have a copy, you can purchase one at the IIA Bookstore. \*\*\***

**REFUND POLICY:** We have received some requests for same day cancellations and as a reminder you can either substitute someone for your spot or receive a refund minus \$25 administration fee for written confirmation of receipt of request within 7 calendar days before the class.

### **Auditor In Charge: Leading an Audit**

**Monday, 02 December 2019, 8:30am—4:30pm**

**Course description:** You already know how to do it yourself but it takes different skills and knowledge to effectively lead others in performing an audit. Successfully leading an audit means you have to manage the work while also managing the people. As the AIC, you must plan the work and then keep track of what everyone is doing, stay on schedule and adapt to the changes that inevitably occur, and work with staff to get the most out of each person. This one-day seminar gives you the tools and techniques to succeed in the AIC role. You will learn about and apply common planning, scheduling, and monitoring tools and techniques along with “soft skills” useful in helping the audit team work collaboratively toward a successful audit.

#### **TRAINING**

#### **LOCATION**

**Hilton Arden West**

**2200 Harvard St  
Sacramento 95815**

**The Hilton has refreshed their meeting rooms and they provide a great atmosphere for our trainings.**





## **Upcoming Training (continued)**

### **Agile Auditing**

**Monday, 27 January 2020, 8:30am—4:30pm**

**Course description:** The buzz-word in Internal Audit today is Agile Auditing. Agile Auditing is more than a mindset. Internal Audit processes today are not much different than they were 20 years ago. Internal Auditing of the future requires different tools and different methods of approach. Agile Auditing is a tool for auditors to use to complete audits on time that leverages risk based auditing and a participative audit approach. Agile is quickly becoming the go to method / approach to build efficient audit teams, create collaborative relationships with audit clients and deliver results timely. Through Agile Auditing you will improve audit delivery time, give better customer service, solve corporate problems and issues faster, and reduce delays, rework and waste.

### **JOB OPPORTUNITIES**

**(For more information, visit the [CalCareers.ca.gov](https://calcareers.ca.gov))**

#### **DEPARTMENT OF FINANCE FINANCIAL AND PERFORMANCE EVALUATOR (FPE) I**

The FPE I is the entry and first working level in the Financial and Performance Evaluator series. Under supervision, incumbents assist in the planning, data gathering, analysis, and consultative duties associated with most assignments. Incumbents conclude and report as to the adequacy, completeness, and accuracy of data and/or assist in the completion of a segment of a larger or more complex assignment.

#### **DEPARTMENT OF FINANCE FINANCIAL AND PERFORMANCE EVALUATOR (FPE) II**

The FPE II is the full journey person level in the Financial and Performance Evaluator series requiring self-direction, independence, and proficiency in handling complex, sensitive, and difficult assignments. Under direction, incumbents utilize a wide range of analytical skills in planning, gathering, and analyzing information, drawing appropriate conclusions, and formulating recommendations. As lead, incumbents plan, direct, and coordinate the work of assigned staff to produce high quality work products.

