

CALIFORNIA ASSOCIATION OF STATE AUDITORS

May 2022
Volume 26



President's Message

Happy Spring Members!

As most of us have returned to the office and are in the midst of implementing a hybrid telework posture, I am excited to look forward and develop a new normal. CASA is thrilled to continue our virtual Coffee with CASA meetings and we have an interesting topic on Artificial Intelligence for our May meeting. Additionally, we are planning a Peer Review training for this summer, which will provide guidance to those interested in joining CASA's Peer Review Program. This Program is an excellent opportunity to network with peers and observe how other audit organizations perform their work. CASA is also evaluating the return of in-person courses, and we look forward to seeing all of your smiling faces. Stay tuned for details.

~Cheryl

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CASA Team

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IN-PERSON TRAINING



We have a lot of interest in returning to in-person training. Your safety is the utmost importance. We are monitoring guidance and talking with venue representatives. Stay tuned for a summer announcement.



Continuing Professional Education

Government Auditing Standards (Yellowbook) 4.16 “Auditors who plan, direct, perform engagement

procedures for, or report on an engagement conducted in accordance with GAGAS should develop and maintain their professional competence by completing at least 80 hours of CPE in every 2 year period...”



International Professional Practices Framework Standard 1230 “Continuing Professional Development-Internal auditors must enhance their knowledge, skills, and other competencies through continuing professional development.”



COFFEE with CASA

Date: May 11, 2022

Time: 8:30 –10:00 a.m.

Location: Online via Zoom

Free 1 CPE



ARTIFICIAL INTELLIGENCE

Ethical Artificial Intelligence (AI) Framework: Practical Approaches for the Public Sector

As AI adoption grows more commonplace in the private sector, government agencies are beginning to explore how to ethically adopt AI to improve services. A key component to the responsible adoption of AI in the public sector context is a robust ethical framework to help surface and mitigate AI associated risks. This talk will discuss current trends in AI, common ways AI can appear in the state context, and a review a practical framework for thinking about mitigating AI risk. The talk will call on past experience applying an ethical AI toolkit to data science projects for the City of San Francisco and current experience piloting an ethical AI toolkit at the state.

Blake Valenta is the Statewide Data Programs Manager serving on the team of the Statewide Chief Data Officer at GovOps. Prior to working for the state, Blake worked as the Analytics Strategist for DataSF in the City and County of San Francisco where he led their Data Science and Dashboarding services. He holds a MA in Public Policy from UCLA and a BA in political science from the University of Chicago.

Breakfast Meeting

[Register by email to CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

MAY COURSE

Enterprise Risk Management (ERM): Practical Approach, Practical Application

Instructor: Tali Ploetz, CPA

Location: Online via Zoom

8 CPE

(2 day course)

May 23 2022 8:30 a.m.—12:30 p.m. and

May 24 2022 8:30 a.m.—12:30 p.m.

Cost \$230 [Register by email to CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

Practitioners of ERM are quick to describe the many benefits of implementing the ERM framework has brought to their organization and the audit process. However, many non-practitioners struggle to get started or how to make the most of ERM and integrate and maximize its benefits in audits. Participants will improve their understanding of ERM and the COSO framework, while coming up to speed with current issues, challenges, and emerging practices regarding risk management, control, and governance processes. Maximizing the benefits of ERM for the audit process will also be discussed.



TRAINING



August 9, 2022
FREE PEER REVIEW
Training



TRAINING

On Tuesday, August 9, 2022, CASA will be offering a free Peer Review Training class. All Audit Leaders are encouraged to attend. Watch for more details in the coming months

EARNING CPE IN REMOTE TRAINING

CASA has a responsibility to monitor individual attendance to assign the correct number of CPE credits.

- For online courses, participants are required to logon with their personally issued link and engage in the designated interactivity elements for attendance (a minimum of 3 times per hour to receive the full credit). A participant's self-certification of attendance alone is not sufficient.
- Participants that do not receive the video conferencing link information, or are experiencing any issues, should email CASAuditors@gmail.com. We are unable to grant CPE if you have technical difficulties and cannot participate or complete polling questions.
- Late arrivals, early departures, or missed course instruction time will result in a proration of CPE credits. If participants anticipate missing any of the course, they should see the course monitor at a live course or email CASAuditors@gmail.com as soon as possible.

MEMBERSHIP

CONTACT INFO

Got questions?
Contact us via email at

CASAuditors@gmail.com

MEMBERSHIP

Membership is open to all
auditors, accountants,
and state employees.

No membership fees!

RECRUITMENT

Auditors are in demand! Check out calcareers.ca.gov for complete details.

Investigative Auditor IV (Specialist), JC-282086

FFD: 05/11/2022

-Department of Justice

Assistant Property Auditor Appraiser, JC-293155

FFD: Until Filled

-Board of Equalization

Associate Management Auditor, JC-289443

FFD: Until Filled

-Department of Veterans Affairs

Do you have a position you want to advertise? Let us know and we will advertise to the CASA membership.

Please email us at

CASAuditors@gmail.com





**INVALUABLE
EXPERIENCE!**

**GREAT INSIGHT
INTO THE BEST
PRACTICES OF THE
AUDITING FIELD!**

**LEARN HOW TO
IMPROVE YOUR
QUALITY
CONTROL
PRACTICES!**

**MEET OTHER
ENTHUSIASTIC
AUDIT
PROFESSIONALS!**

**WANT TO KNOW
MORE? VISIT
[WWW.CASA1.ORG
G/FAQS](http://WWW.CASA1.ORG/G/FAQS)**

**HAVE QUESTIONS?
CONTACT OUR CASA
PEER REVIEW
COORDINATORS:**

**[mary.camacho
@dof.ca.gov](mailto:mary.camacho@dof.ca.gov) or
[lisa.negri
@energy.ca.gov](mailto:lisa.negri@energy.ca.gov)**

VOLUNTEER OPPORTUNITIES

Participating in a peer review is a great opportunity for leaders within the State of California audit community to exchange ideas about best practices and to learn from each other, while helping audit units meet their external assessment requirement.

If you are highly motivated and meet the desired qualifications, we encourage you to apply by submitting a completed nomination form located at [www.casa1.org./
review nomination](http://www.casa1.org./review-nomination) to the peer review coordinators.

Apply Now!

Management and licensed professionals (CPA, CIA, CGAP etc.) are strongly encouraged to apply

