

# CALIFORNIA ASSOCIATION OF STATE AUDITORS

July 2022, Volume 28



## PRESIDENT'S MESSAGE

Happy New Fiscal Year!

Please join our team! CASA is recruiting for a Training Coordinator to assist with bringing value-added training to our membership. It is a great opportunity to network with other auditors and skilled audit trainers. The time commitment includes monthly CASA Board meetings, and training coordination with trainers and CASA's Executive Director. See the Volunteer Opportunities for additional information.

Help make a difference and join our team!



### FEEDBACK WANTED

If you are in need of specific training topics or are aware of great presenters, please let us know!

CASA is committed to bringing you the best training possible.

Send us feedback at:

[CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)



**Cheryl McCormick**  
**CASA President**

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### CASA Team

- Cheryl McCormick  
President
- Leanna Sinibaldi  
Vice President
- Laura Langston  
Treasurer
- Sara Sheikholislam  
Secretary
- Shanah McKnight  
Executive Director
- Chris Prasad  
Training Coordinator
- Mary Camacho  
Peer Review
- Lisa Negri  
Peer Review
- David Shockey  
Peer Review
- Jennifer Whitaker  
Immediate Past President



The year is halfway over and it's time for the Peer Review team to plan for the upcoming 2023 peer reviews.

We are seeking motivated volunteers to participate. Participating in a peer review is a great opportunity for leaders within the State of California audit community to exchange ideas about best practices and learn from each other, while helping audit units meet their external assessment requirement. The time commitment is less than you might think and taking a deeper dive into the standards can really elevate your audit game!

We encourage you to apply by submitting a completed nomination form located at [www.casa1.org/review](http://www.casa1.org/review) to the peer review coordinators.

Management and licensed professionals (CPA, CIA, CGAP, etc.) are strongly encouraged to apply.

WANT TO KNOW MORE? VISIT [WWW.CASA1.ORG/FAQS](http://WWW.CASA1.ORG/FAQS)

HAVE QUESTIONS? CONTACT OUR CASA PEER REVIEW COORDINATORS:

[Mary.Camacho@dof.ca.gov](mailto:Mary.Camacho@dof.ca.gov), [David.Shockey@dof.ca.gov](mailto:David.Shockey@dof.ca.gov), or [Lisa.Negri@energy.ca.gov](mailto:Lisa.Negri@energy.ca.gov)

## IN-PERSON TRAINING

The scheduled in-person training for the July training has been postponed. The training will now be hosted online.

If you have any questions, send an email to [CASAuditors@gmail.com](mailto:CASAuditors@gmail.com).



# HURRY!

## ANNOUNCEMENTS (CONT.)

### Free Peer Review Training

On August 9, 2022, from 8:30 am to 12:30 pm, CASA will be offering 4 hours of **FREE CPE**. Yellow Book and Redbook require audit organizations to obtain peer reviews. This Peer Review training will cover:

- How Peer Reviews are conducted
- Peer Review roles and responsibilities
- CASA's part in the Peer Review process

**Who should attend:** Specialists, Audit supervisors, Audit managers, and Audit chiefs

**Recommended CPE:** 4 hours of governmental

**Program Level:** Advanced

**Location:** 300 Zoom and 30 in-person (915 L Street, Sacramento Cedar Room)

**Registration:** Register in advance for a Zoom slot [here](#).

After registering, you will receive a confirmation email containing information about joining the meeting.

**Sign up, slots are filling up fast!**  
**Register Now!!**

For an in-person slot, send an email to [CASAuditors@gmail.com](mailto:CASAuditors@gmail.com).



# JULY TRAINING

## Agile Auditing

(8 CPE)

**Instructor: Danny Goldberg**

**Location: Online**

**(1 day course)**

**Monday, July 18, 2022 8:30 a.m.—4:30 p.m.**

**Cost \$350.00**

### **DESCRIPTION:**

The audit profession is one of many that is slow to embrace change. For a profession that focuses on operational auditing (efficiency, economy, effectiveness), many audit shops do not have these E's optimized. The subject of Agile Auditing has become an emerging topic in our industry. Many of the basic premises of Agile Auditing are things that all audit shops should be doing. Regardless, GoldSRD has taken the basics of Agile Auditing and applied the standards that all GoldSRD attendees are accustomed to: continuous communication, strong relationships and a transparent audit process. Spend the day with us learning the Gold Standard for Agile Auditing!

**[Register by email to CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)**



# AUGUST TRAINING

## Auditing to Support an Audit Finding (4 CPE)

**Instructor:** Leita Hart-Fanta

**Location:** Online

**(1 day course)**

**Monday, August 29, 2022 8:30 a.m.—12:30 p.m.**

**Cost \$200.00**

### DESCRIPTION:

Do you audit first and worry about the report at the end of the project? Maybe you've realized that no amount of editing will fix a finding that went off the rails. In this course, we will look at the critical components of an audit report and how to create them – as you audit – so that the reporting process is easy – and dare we say it? – fun!

Frequent references to performance audit standards promulgated by the IIA and the GAO will keep us grounded.

### COURSE OBJECTIVES INCLUDE:

- Generate concise and logical findings in an hour or less
- Choose methodologies that support performance audit report conclusions and findings
- Choose the strongest content for your finding
- Draft your audit findings and imagine your conclusions at the end of planning
- Format the risk assessment so that it generates critical information for your report
- Recognize dangerous tone and language
- Establish expectations and guidelines for creating a compelling finding
- Focusing the report conclusion on a finite, answerable objective

[Register by email to CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)



# SEPTEMBER TRAINING

## Extreme Risk Based Auditing

(8 CPE)

**Instructor:** Raven Catlin

**Location:** TBD

**(1 day course)**

**Monday, September 19, 2022 8:30 a.m.—4:30 p.m.**

**Cost \$350.00**

### **DESCRIPTION:**

Risk based audits are the leading method for performing value-added Internal Audits. This course is designed to teach the skills necessary to perform effective and efficient risk-based audits. Participants will have hands on experience preparing a risk-based audit program, including risk-based audit scoping and control identification & testing techniques. We will also explore in-depth concepts for efficient risk-based audits, including sampling strategies, using technology and gaining corrective actions. This is extreme risk based auditing and participants will be challenged to learn, select, apply the 6 key differences between this method and all others to incorporate into their audit methodology.

### **COURSE OBJECTIVES INCLUDE:**

At the end of this program, attendees will be able to:

- Prepare an effective audit program
- Differentiate between risk based testing and standard testing
- Test controls in risk based auditing
- Communicate residual risk based audit results

[Register by email to CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)



A tree diagram illustrating various academic standards. The trunk and branches are formed by multi-colored circuit board traces. Circular icons representing different disciplines are attached to the branches:

- Red/Orange Branches (Left):**
  - Globe icon
  - Magnifying glass icon
  - $E=MC^2$
  - Soccer player icon
  - Lightbulb icon
  - Paint palette and brush icon
- Purple/Pink Branches (Middle-Left):**
  - Stack of books icon
  - Graduation cap icon
  - Target icon
  - a+b=c
  - Scissors icon
  - Atom icon
  - Certificate/Diploma icon
  - Open book with pencil icon
  - Classical building icon
  - $\pi$
- Blue/Green Branches (Right):**
  - Microscope icon
  - Flask and test tube icon
  - Head with gear icon

The word "Standards" is written at the bottom.

International Professional Practices Framework Standard 1230 (Redbook): “Continuing Professional Development-Internal auditors must enhance their knowledge, skills, and other competencies through continuing professional development.”

**CASA has a responsibility to monitor individual attendance to assign the correct number of CPE credits.**

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# VOLUNTEER OPPORTUNITIES

## Training Coordination

Looking to make a difference for auditors within California? Are you creative and attentive to the skills needed to take auditing to the next level? CASA is looking for someone who would like to take on the opportunity to help develop and assist with our training schedule for the next fiscal year. This will get you experience with not only working with skilled experience audit trainers, but also the opportunity to network with many other auditors.

If you are interested contact us at [CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)



## MEMBERSHIP

Membership is open to all auditors, accountants, and state employees.

**No membership fees!**





## RECRUITMENT

Auditors are in demand! Check out the postings below and [calcareers.ca.gov](https://calcareers.ca.gov) for complete details.

**Staff Services Management Auditor, JC-313684**

FFD: 7/5/2022

Posting located [here](#).

-Department of Health Care Services

**Staff Services Management Auditor, JC-313535**

FFD: 7/6/2022

Posting located [here](#).

-Department of Social Services

**Staff Services Management Auditor, JC-314334**

FFD: 7/12/2022

Posting located [here](#).

-Department of Insurance

**Associate Management Auditor, JC-306683**

FFD: Until Filled

Posting located [here](#).

-Department of Transportation

**Associate Management Auditor, JC-313070**

FFD: 7/7/2022

Posting located [here](#).

-Department of Motor Vehicles

**Associate Management Auditor, JC-312790**

FFD: 7/12/2022

Posting located [here](#).

-Department of Motor Vehicles

**Associate Management Auditor, JC-304689**

FFD: 7/20/2022

Posting located [here](#).

-Department of General Services

Do you have a position you want to advertise? Let us know and we will advertise to the CASA membership. Please email us at:

[CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)



Got questions? Contact us via email at [CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)