

# CALIFORNIA ASSOCIATION OF STATE AUDITORS

January 2021  
Volume 20



## President's Message



Happy New Year Members!

While we will never forget the year of 2020, reflecting back on the positives and looking ahead into 2021, we are excited to continue our efforts of providing remote training opportunities. We have several interesting courses with talented instructors confirmed for the first half of this year. Please check our website for the available courses, and let us know of any additional training topics that interest you. Again, Happy New Year!

- Cheryl

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### CASA Team

- Cheryl McCormick  
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- Jodi Epperson  
Vice President
- Laura Langston  
Treasurer
- Leanna Sinibaldi  
Secretary
- Shanah McKnight  
Executive Director
- Chris Prasad  
Training Coordinator
- Mary Camacho  
Peer Review
- Lisa Negri  
Peer Review
- Jennifer Whitaker  
Immediate Past President

## CONTACT INFO

Got questions?  
Contact us via email at

[CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

or call us at

(916) 633-1CPE (1273)

## MEMBERSHIP

Membership is open to  
all auditors, accountants,  
and state employees.

**No membership fees!**

## CASA TRAINING

**CASA COURSES FOR THE  
FIRST QUARTER OF 2021  
WILL BE CONDUCTED ONLINE**



Committed to providing training in auditing techniques and approaches.

TRAINING

## FEBRUARY COURSE

### ***FRAUD AUDITING FOR INTERNAL AUDITORS***

Instructor: GoldSRD

Monday & Tuesday, February 8 & 9, 2021, 8:30am-12:30pm

All auditors should have basic fraud knowledge to meet their obligation as an auditor. In this course, attendees will focus on the basics of fraud auditing, including monitoring activities, understanding the nature of fraud and how it occurs, internal audit's role in detecting fraud and fraud red flags.

**Who should attend:** Auditors who want the basics of fraud training to apply to their audit approach immediately.

**Attendees will learn to:**

- Identify fraud risk and appropriate tools and techniques
- Apply critical thinking skills to fraud situations
- Identify fraud through fraud indicators
- Discover how fraud occurs and the characteristics of a fraudster

# MARCH COURSE

## ***BIG DATA AND DATA ANALYTICS FOR ACCOUNTING PROFESSIONALS***

Instructor: Becker

Monday & Tuesday, March 15 & 16, 2021, 8:30am-12:30pm

This course does the following: 1) defines big data; 2) describes how it is used in business and the accounting profession; 3) describes the types of business analytics; 4) describes the types of decision models used to analyze data; 5) defines descriptive statistics; and 6) provides an overview of data visualization.

In addition, this course: 1) addresses opportunities associated with big data and data analytics; 2) covers the steps in data analysis; 3) covers the types of data used in data analysis; 4) discusses common statistical analysis procedures; 5) introduces data visualization techniques for optimal reporting; and 6) presents current trends in the use of big data and data analytics in the accounting profession.

Attendees will learn to:

- Define big data
- Identify the business implications of big data
- Identify the implications of big data for the accounting profession
- Recognize the types of business analytics
- Recognize the types of decision models used to analyze data
- Define descriptive statistics
- Recognize data visualization
- Recognize how big data can be combined with existing data for deeper insights
- Identify the types of data used in data analysis
- Recognize common statistical analysis procedures
- Recognize how to use Microsoft Excel to perform trend analysis and regression analysis
- Apply data visualization techniques for optimal reporting
- Identify current trends in the use of big data

TRAINING

## EARNING CPE IN REMOTE TRAINING

**CASA has a responsibility to monitor individual attendance to assign the correct number of CPE credits.**

- For online courses, participants are required to logon with their personally issued link and engage in the designated interactivity elements for attendance (a minimum of 3 times per hour to receive the full credit). A participant's self-certification of attendance alone is not sufficient.
- We are unable to grant CPE in cases where technical difficulties and operational requirements are not met. Participants that do not receive the video conferencing link information, or are experiencing any issues, should email [CASAuditors@gmail.com](mailto:CASAuditors@gmail.com).
- Late arrivals, early departures, or missed course instruction time will result in a proration of CPE credits. Once the first 50-minute hour of CPE has been completed, the participants will received .5 hour credit for each 25-minute segment.
- If participants anticipate missing any of the course, they should see the course monitor at a live course or email [CASAuditors@gmail.com](mailto:CASAuditors@gmail.com) as soon as possible.



## ARE YOU HIRING?

CONTACT CASA AND WE WILL SHARE YOUR JOB POSTING WITH OUR MEMBERS BY:

- 1) EMAIL BLAST TO OUR MEMBERSHIP LIST
- 2) POST IN OUR BI-MONTHLY NEWSLETTER

YOU CAN ALSO VISIT CASA'S EMPLOYMENT OPPORTUNITY PAGE AT:

[WWW.CASA1.ORG/EMPLOYMENT](http://WWW.CASA1.ORG/EMPLOYMENT)

**CEA — DEPUTY DIRECTOR**  
Office of Audits and Court Compliance  
Department of Corrections & Rehabilitation

**FINAL FILING DATE: 2/1/2021**

Under the general direction of the Director, Correctional Policy Research and Internal Oversight (CPRIO), the Deputy Director is responsible for directing a comprehensive internal statewide audit and compliance program for the Department. The Deputy Director directs the daily administrative operations, managerial, and program functions of the Office of Audits and Court Compliance (OACC). OACC performs internal audits and special reviews of the Department's adult and juvenile facilities, headquarters, camps, and parole offices; security audits of the Department's adult institutions; compliance reviews for court-ordered remedial plans; administers the Department's enterprise risk management program; and the coordination and tracking activities related to external audit organizations.

For more information, please visit [www.calcareers.ca.gov](http://www.calcareers.ca.gov)

RECRUITMENT

**AUDITOR—FINANCIAL AND PERFORMANCE EVALUATOR I  
DEPARTMENT OF FINANCE**

**FINAL FILING DATE: 2/5/2021**

The Financial and Performance Evaluator I is the entry and first working level in the Financial and Performance Evaluator series. Under supervision, incumbents assist in the planning, data gathering, analysis, and consultative duties associated with most assignments. Incumbents conclude and report as to the adequacy, completeness, and accuracy of data and/or other work products. Incumbents may also independently perform smaller or less complex assignments and/or assist in the completion of a segment of larger or more complex assignments.

**For more information, please visit [www.calcareers.ca.gov](http://www.calcareers.ca.gov)**

**ASSOCIATE MANAGEMENT AUDITOR  
DEPARTMENT OF MOTOR VEHICLES**

**FINAL FILING DATE: 2/2/2021**

This position is located in Sacramento DMV Headquarters. The DMV is a trusted leader in delivering innovative services. The Audits Office, Internal Audits Branch is seeking a motivated technology-forward Associate Management Auditor (AMA), but will consider a Staff Services Management Auditor (SSMA). The Auditor works in a fast-paced, technologically modern Department. Under the direction of a Senior Management Auditor and the supervision of a Staff Management Auditor, the AMA/SSMA works in a team environment using electronic work papers to plan, schedule, and conduct internal audits for the DMV. Duties include conducting risk-based audits in an agile environment to develop insightful findings and recommendations. Audits adhere to promulgated auditing standards.

**For more information, please visit [www.calcareers.ca.gov](http://www.calcareers.ca.gov)**

RECRUITMENT



## 2022 VOLUNTEER OPPORTUNITIES

Participating in a peer review is a great opportunity for leaders within the State of California audit community to exchange ideas about best practices and to learn from each other, while helping audit units meet their external assessment requirement. Agencies currently scheduled for review in 2022 include, Department of Child Support Services and Department of Motor Vehicles.

If you are highly motivated and meet the desired qualifications, we encourage you to apply by submitting a completed nomination form located at [www.casa1.org./review nomination](http://www.casa1.org./review-nomination) to the peer review coordinators.

**Teams forming soon.  
Apply Now!**

Management and licensed professionals (CPA, CIA, etc.) are strongly encouraged to apply.



**INVALUABLE  
EXPERIENCE!**

**GREAT INSIGHT  
INTO THE BEST  
PRACTICES OF THE  
AUDITING FIELD!**

**LEARN HOW TO  
IMPROVE YOUR  
QUALITY  
CONTROL  
PRACTICES!**

**MEET OTHER  
ENTHUSIASTIC  
AUDIT  
PROFESSIONALS!**

**WANT TO KNOW  
MORE? VISIT  
[WWW.CASA1.ORG  
G/FAQS](http://WWW.CASA1.ORG/G/FAQS)**

**HAVE QUESTIONS?  
CONTACT OUR CASA  
PEER REVIEW  
COORDINATORS:**

[mary.camacho  
@dof.ca.gov](mailto:mary.camacho@dof.ca.gov) or  
[lisa.negri  
@energy.ca.gov](mailto:lisa.negri@energy.ca.gov)